

Using data to change systems: Moving Beyond Compliance



Expectations

- How Alaska learned to use data
- ACES
- Using data to inform policy
- Program strategies using Child Outcome data

2

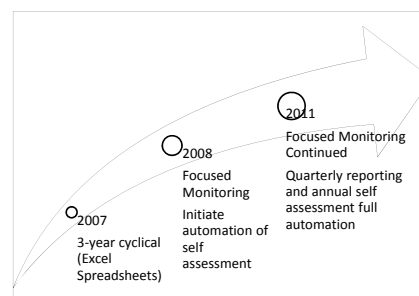
Early intervention in Alaska

- DHSS
- 16 grantees
- Urban, rural and remote
- Culture



3

History of Alaska Monitoring



Compliance Monitoring

Indicators Automated – annual comparison

- 1 Timely Services
- 2 Natural Environment
- 3 Child Outcomes
- 7 45-Day Timeline
- 8 Timely Transition
- 9 Correction of noncompliance

Compliance Monitoring

Indicators not automated

- 4 Family Outcomes Statewide Survey
- 5-6 Child Find 618 data
- 10-13 Due Process manual tracking
- 14 Timely, Accurate and Reliable Data manual tracking

Quality Monitoring

- Monitoring Committee identified:
 - Related Requirements (IDEA)
 - Best Practice (Alaska Quality)

28 Question Annual Self Assessment

Related Requirements

- Written Prior Notice (303.403(b))
- Parental Consent (303.403(b))
- Family Primary Language (303.403(c)), (303.323(a)), (303.342(d)(1)(ii))
- Decline IFSP Service Documentation (303.40)
- Service Provision Consistent with Consent (3)
- Multidisciplinary Service Providers (303.17)
- Family Assessment (303.322(d))
- Eval Includes Other Sources (303.17, 303.322(c)(3)(ii))
- Comprehensive Eval (303.322(c)(3))
- Documentation of Untimely Transition Meeting (303.148(b)(2)(ii))



Best Practice – Alaska Quality



- COSF Consistent with Eval
- Functional Eval
- Parent Observation
- Functional Service Strategies
- COSF to inform systems/services

Quality Assurance & Monitoring

- State level TA Quarterly or as needed
 - Local staff training
- Annual verification visits
 - 3- year cyclical on-site file review or as needed
- Annual self assessment
 - Mid-year child level self assessment for correction of noncompliance
- On-site Monitoring
 - Local root cause analysis for longstanding noncompliance

Self Assessment

State of Alaska • Department of Health & Social Services • Office of Children's Services
Early Intervention / Infant Learning Program

Self Assessment Child

Child: Facility: PIC Period: FY11

Review Date: 06/10/11 Reviewer:

Hover over a shaded area to see reviewer guidance for that Indicator.

1. Is there evidence that the parent was given written prior notice before each of the following events and that the content of the notice clearly described the action that will be taken and its purpose: (303.403(b))

1a. Initial evaluation and assessment? ☐ Yes ☐ No ☐ N/A

Explanation: _____

1b. Initial IFSP meeting? ☐ Yes ☐ No ☐ N/A

Explanation: _____

1c. Starting services on the initial IFSP? ☐ Yes ☐ No ☐ N/A

Explanation: _____

1d. IFSP reviews? ☐ Yes ☐ No ☐ N/A

Annual Report Card

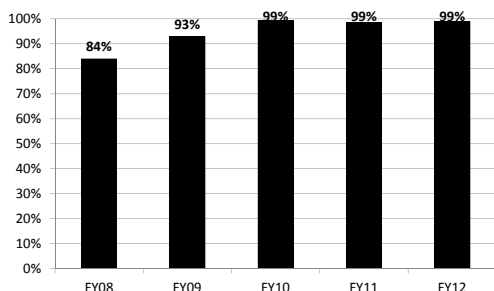
State of Alaska • Department of Health & Social Services • Office of Children's Services
Early Intervention / Infant Learning Program

Agency Report Card

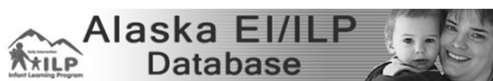
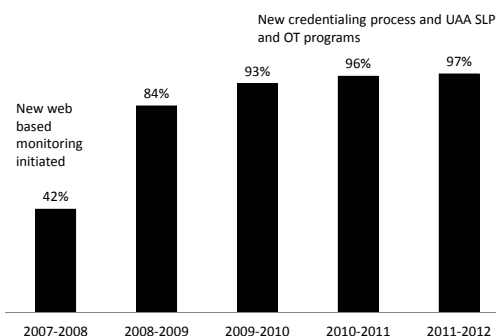
Period: FY11 Q1 Agency: ACC Date: 10/2/2011

Indicator	Period	Score	Period	Score	Status	Adjusted Status	State Notes
1. Family Services	100%	100%	100%	100%	Continued Compliance		Exm
2. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
3. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
4. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
5. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
6. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
7. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
8. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
9. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
10. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
11. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
12. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
13. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
14. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
15. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
16. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
17. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
18. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
19. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
20. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
21. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
22. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
23. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
24. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
25. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
26. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
27. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
28. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
29. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
30. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
31. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
32. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
33. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
34. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
35. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
36. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
37. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
38. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
39. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
40. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
41. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
42. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
43. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
44. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
45. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
46. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
47. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
48. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm
49. Family Assessment	100%	100%	100%	100%	Continued Compliance		Exm
50. Family Primary Language	100%	100%	100%	100%	Continued Compliance		Exm

Percent of Enrollments within 45 Days of Referral



Timely IFSP Services Delivery



Questions

Personal reflection

- Early Childhood Mental Health
 - TACSEI/Alaska Pyramid Partnership
- CAPTA
- Professional Development
- Program improvement



16

The Adverse Childhood Experiences (ACE) Study

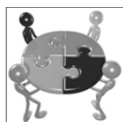
Examines the health and social effects of ACEs throughout the lifespan among 17,421 members of the Kaiser Health Plan in San Diego County

What do we mean by Adverse Childhood Experiences?



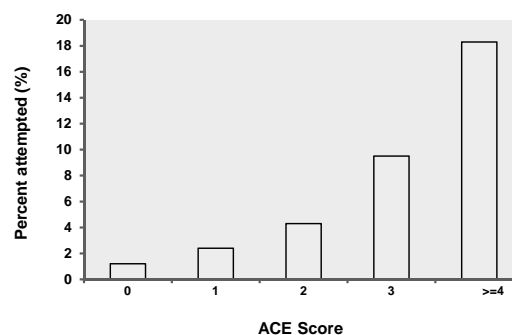
Childhood abuse and neglect
Growing up in the home with

- ☐ domestic violence,
- ☐ substance abuse
- ☐ mental illness,
- ☐ parental discord
- ☐ Crime

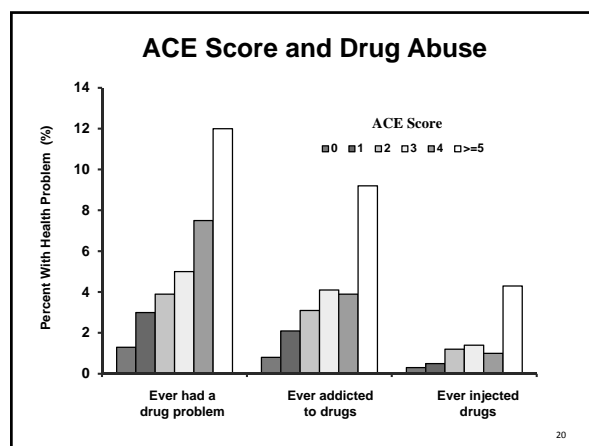
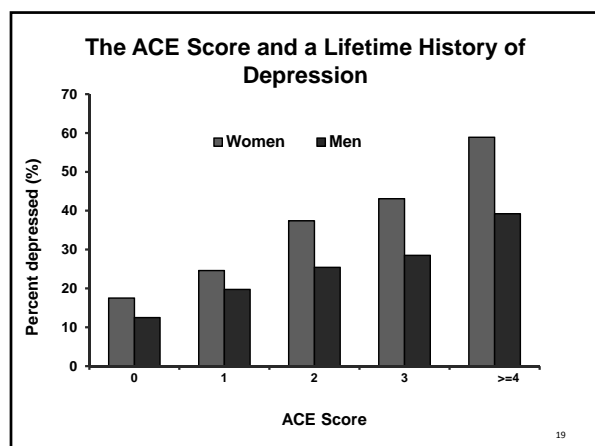


17

The ACE Score and the Prevalence of Attempted Suicide



18



ACES Lead to Trauma and Potential Long-Term Outcomes

Neglect in infancy - Sexual abuse at ages 9 and 10

Adaptation:

- Language delay
- Diminished math capacity
- Diminished integration & coordination
- Difficulty with social cues

Witnessing DV - Sexual abuse

Adaptation:

- Poor executive function
- Impulsiveness
- Diminished abstract reasoning
- No hope for the future
- Limiting field of vision

All forms of maltreatment in the first 2-3 years of life - Sexual abuse at ages 3-5

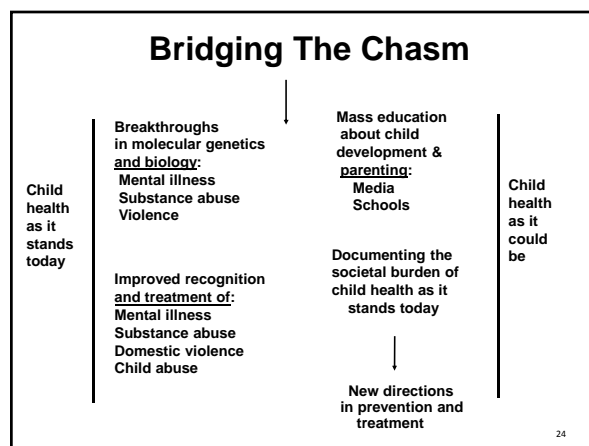
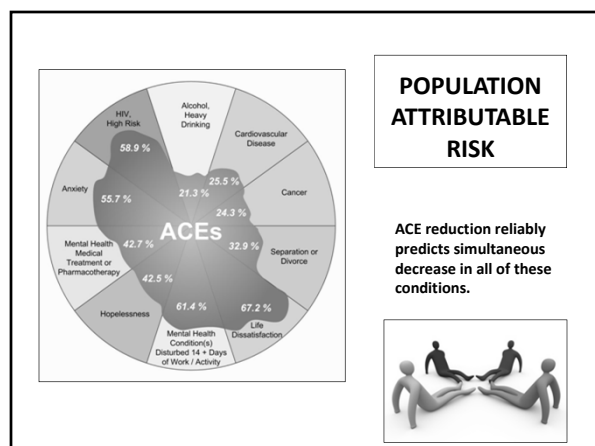
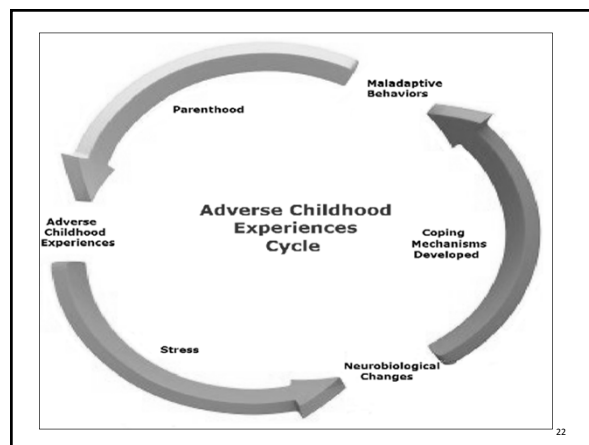
Adaptation:

- Emotionally reactive—brain's braking mechanism fails
- Poor regulation of behavior
- Difficulty with verbal & spatial memory

TRAUMA IS HARD-WIRED INTO BIOLOGY

All forms of maltreatment in the first 2-3 years of life - Sexual abuse at ages 3-5 - Neglect in infancy - Sexual abuse at ages 9 and 10 - Witnessing DV - Sexual abuse

21



Bridging The Chasm

Child health as it stands today

Involving those who don't yet realize that they are working on issues that represent the "downstream" wreckage of child abuse and neglect--and other adverse childhood experiences--in the effort to bridge the chasm.

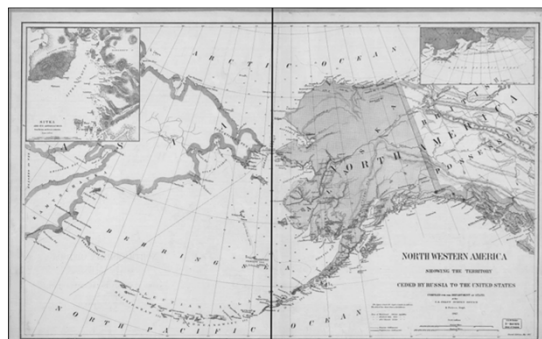
Child health as it could be

Routine screening for trauma is needed



25

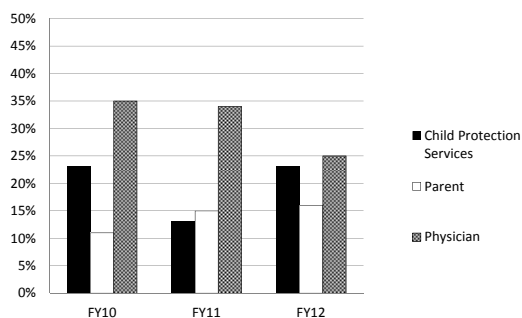
What about Alaska?



26

Top Referral Sources Part C

July 1, 2009 – June 30, 2012



EMOTIONAL ABUSE, PHYSICAL ABUSE, SEXUAL ABUSE, EMOTIONAL NEGLECT & PHYSICAL NEGLECT

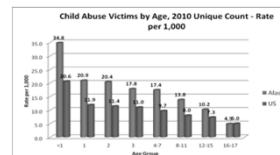
FIRST TIME VICTIMS PER 100,000 CHILDREN



ADMINISTRATION FOR CHILDREN AND FAMILIES, CHILD WELFARE OUTCOMES REPORT.

ALASKA RANKED SECOND WORST OF ALL STATES IN CHILD VICTIMS WITH A RATE OF 21.6 PER 1,000 CHILDREN IN 2009.

ADMINISTRATION FOR CHILDREN AND FAMILIES, CHILD WELFARE OUTCOMES REPORT.
[HTTP://WWW.ACF.HHS.GOV/DATA/OVERVIEW/VICTIM_RATE.HTM](http://www.acf.hhs.gov/data/overview/victim_rate.htm)



ADMINISTRATION FOR CHILDREN AND FAMILIES, CHILD WELFARE OUTCOMES REPORT.

IN 2008 ALASKA RANKED THIRD WORST IN RATE OF SUBSTANTIATED CHILD ABUSE CASES PER CHILD POPULATION.

ADMINISTRATION FOR CHILDREN AND FAMILIES, CHILD WELFARE OUTCOMES REPORT.
[HTTP://WWW.ACF.HHS.GOV/PROGRAMS/CB/PUBS/CN08/TABLES_1.HTM](http://www.acf.hhs.gov/programs/cb/pubs/cn08/tables_1.htm)

28

Adverse Childhood Experiences in Alaska

Some Things We Know..... and Some We Don't

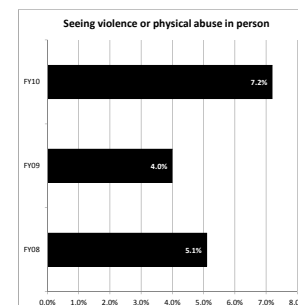
- Physical abuse/neglect
- Emotional abuse/neglect
- Sexual abuse
- An alcohol and/or drug abuser in the household
- Incarcerated household member
- Household Mental Illness
- Mother is treated violently
- Parental Separation/Divorce



<http://www.cdc.gov/ace/prevalence.htm>

29

CHILDREN 3 AND UNDER WITNESSING VIOLENCE



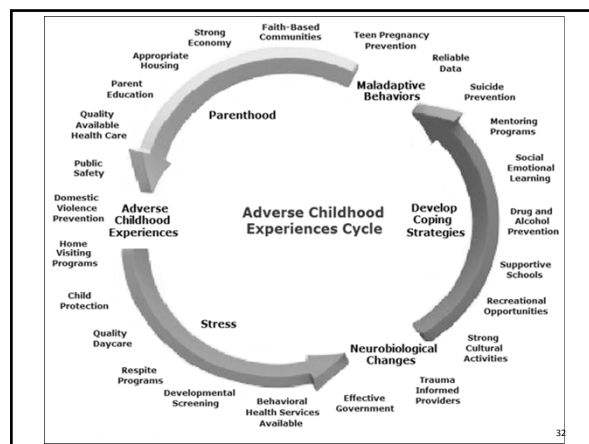
NOTE: SURVEY OF MOTHERS WITH CHILDREN UNDER THREE YEARS OLD.
 SOURCE: CUSS, ALASKA DIVISION OF PUBLIC HEALTH.

30

Pregnancy



31



32

Thoughts?



33

Alaska Early Childhood Mental Health-



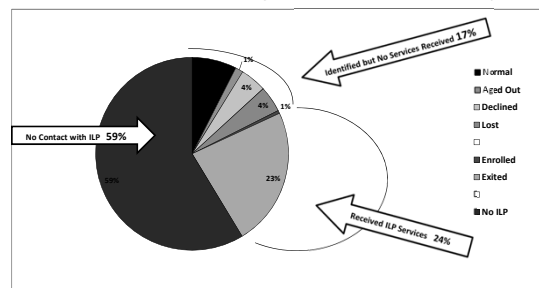
34

Changes in Mean Age of Children 0-7 Receiving Medicaid Behavioral Health Services Between FY08 & FY10 by Diagnostic Category

Diagnoses	FY08	FY10	% Change
Post Traumatic Stress Disorder	5.4	5.2	-3.9%
Deprivation Maltreatment Disorder	5.0	4.0	-20.0%
Anxiety Disorder of Infancy and Early Childhood	5.1	5.3	3.3%
Depression of Infancy and Early Childhood	5.5	5.3	-3.3%
Adjustment Disorder	5.2	5.1	-1.0%
Regulation Disorders of Sensory Processing	5.7	5.7	-1.0%
Sleep Behavior Disorders	2.8	2.6	-8.9%
Feeding Behavior Disorders	1.9	1.7	-12.6%
Disorders of Relating and Communicating	4.3	4.5	5.8%
Conduct Disorders	4.2	4.6	10.8%
Bipolar or Cyclothymic	5.3	5.9	11.2%
Substance Abuse	3.5	1.1	-67.9%
Enuresis/Encopresis	4.9	5.3	8.6%

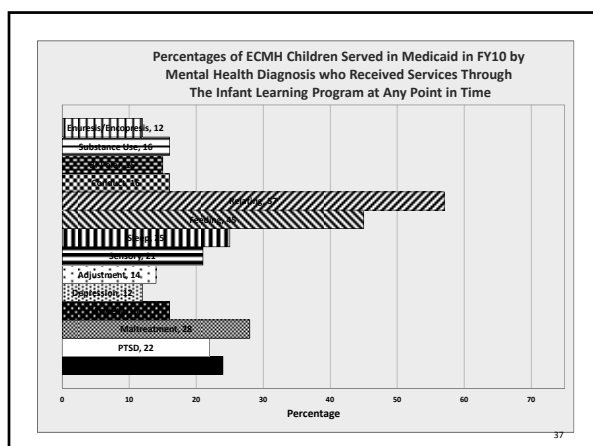
35

Children 0 - 7 who had a BH Billing (2010 data) and Their ILP Participation



Normal - Children who were screened or evaluated as in the developmental range
Aged Out - Children who were too old to receive services
Declined - Declined assessment or services
Lost - Referred but lost before contact could be made
Enrolled - Children currently enrolled
Exited - Children who received services in the program
No ILP - Refers to children with no contact with ILP

36



Extended Eligibility Pilot 2009-2011

- providing services for children at risk



Enhanced practices

- ◆ ASQ-SE
- ◆ reflective practice
- ◆ MH consultation

Greenspan Social-Emotional Growth Chart^{1,3}

- ◆ 76% experienced measurable increases in social-emotional skills
- ◆ Overall difference between entry and exit/annual standard scores was statistically significant ($p = .002$)

Subpopulations in 20-month Pilot⁽¹⁾

- ◆ 25% to 49% developmental delay (85%)
- ◆ CPS-involved (child welfare system) (22%)
 - Foster parent referred
 - Parent referred after contact with CPS
 - Substantiated reports of harm (CAPTA)
 - Unsubstantiated reports of harm
 - Investigations pending
- ◆ CAPTA (substantiated reports of harm) (12%)
- ◆ Other risk factors (not included in any of the above categories) (10%)

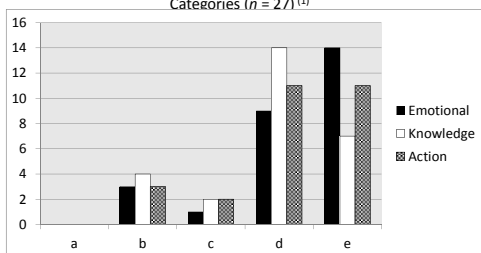
Demographics⁽¹⁾

- ◆ 73 children were initially enrolled in pilot services
- ◆ Most typical child was...
 - Between one and two years old (42%)
 - Male (60%)
 - White (60%)
 - Had a 25% to 49% developmental delay (85%)
 - Was living with family (96%)
- ◆ Alaska Native or American Indian was indicated for 27% of children
- ◆ At the end of the pilot, 31 (42%) were still enrolled and 42 (58%) had exited
- ◆ About 45% of exited children had received a course of intervention

Child Outcome Summary (COS) ^(1,2)

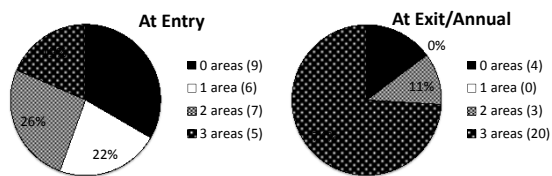
- ◆ 27 children had both entry and exit or annual ratings in three functional areas
 - Emotional: Children have positive social-emotional skills
 - Knowledge: Children acquire and use knowledge and skills
 - Action: Children use appropriate behaviors to meet needs
- ◆ Outcome categories (compared with same-age peers)
 - Did not improve functioning
 - Improved, but not sufficient to be nearer peers
 - Improved to a level nearer peers, but not the same*
 - Improved to a level comparable with peers*
 - Maintained a level comparable with peers
- ◆ *Highly unlikely to occur without intervention

Child Outcomes in Each of 3 COS Functional Areas Sorted by Outcome Categories ($n = 27$)⁽¹⁾



70% showed improvements of a magnitude highly unlikely to occur without intervention

Age-appropriate functioning in number of COS areas ($n = 27$)⁽¹⁾



81% entered with some low functioning, 74% exited with age-appropriate functioning in all 3 areas

Child Outcome Summary 1 FY12

Children who entered the program below age expectations - % who substantially increased their rate of growth by the time they exited the program.

	Emotional Development	Gaining New Skills and Knowledge	Taking Action to Get Needs Met
Target	68%	78%	74%
Actual	69%	70%	73%

Child Outcome Summary 2 FY12

Percent of children functioning within age expectations by the time they exit the program.

	Emotional Development	Gaining New Skills and Knowledge	Taking Action to Get Needs Met
Target	58%	60%	55%
Actual	55%	45%	48%

Enhanced data

- Using tables to investigate outcome areas for sub-populations
 - Medicaid Eligible
 - Referred through Child Welfare
 - Referred through physicians office

- Jane Atuk Program Specialist
- Pat Sidmore- Research Analyst (ACES)
- Lisa Balivet- Data manager
- Erin Kinavey Part C Coordinator
- Roxy Lamar- UCEDD
- ◆ First.last@alaska.gov
- <http://acestudy.org/>